

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 240324US2TTC DIV

First Inventor or Application Identifier Tohru OZAKI

Title SEMICONDUCTOR MEMORY DEVICE AND METHOD OF FABRICATING THE SAME

Assignee Name: KABUSHIKI KAISHA TOSHIBA
Assignee Address: 1-1, Shibaura 1-chome, Minato-ku, Tokyo JAPAN

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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Specification

Total Sheets 48

3. Drawing(s) (35 U.S.C. 113) Total Sheets 25

4. Oath or Declaration

Total Pages 4

a. Newly executed (original or copy)

b. Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 17 completed)

i. DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in
the prior application, see 37 C.F.R. §1.63(d)(2) and
1.33(b).

5. CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

a. Computer Readable Form (CRF)

b. Specification or Sequence Listing on :

i. CD-ROM or CD-R (2 copies); or

ii. Paper

c. Statements verifying identity of above copies

Commissioner for Patents
ADDRESS TO: Mail Stop Patent Application
Alexandria, Virginia 22313

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))

8. Application Data Sheet. See 37 CFR 1.76

9. 37 C.F.R. §3.73(b) Statement
(when there is an assignee) Power of
Attorney

10. English Translation Document (if applicable)

11. Information Disclosure
Statement (IDS)/PTO-1449 Copies of IDS
Citations

12. Preliminary Amendment

13. White Advance Serial No. Postcard

14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

15. Applicant claims small entity status.
See 37 CFR 1.27

16. Other: Request for Priority
Substitute Specification from Parent
Application (Copy)

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

Continuation Divisional Continuation-in-part (CIP) of prior application no.: 09/956,001

Prior application information: Examiner: George C. Eckert II Group Art Unit: 2815

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

This application is a Continuation Division Continuation-in-part (CIP)

of application Serial No. 09/956,001 Filed on September 20, 2001

This application claims priority of provisional application Serial No. Filed

19. CORRESPONDENCE ADDRESS



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Name:	Eckhard H. Kuesters	Registration No.:	28,870
Signature:		Date:	7/15/03
Name:	David A. Bilodeau	Registration No.:	42,325

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Docket No. 240324US-2 TTC DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Tohru OZAKI, et al.

SERIAL NO: NEW APPLICATION

FILING DATE: HEREWITH

FOR: SEMICONDUCTOR MEMORY DEVICE AND METHOD OF FABRICATING THE SAME

FEES TRANSMITTAL

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	2 - 20 =	0	x \$18 =	\$0.00
INDEPENDENT CLAIMS	2 - 3 =	0	x \$84 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$280 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
			BASIC FEE	\$750.00
			TOTAL OF ABOVE CALCULATIONS	\$750.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
			TOTAL	\$750.00

Please charge Deposit Account No. 15-0030 in the amount of A duplicate copy of this sheet is enclosed.
 A check in the amount of **\$750.00** to cover the filing fee is enclosed.
 The Director is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Eckhard H. Kuesters

Registration No. 28,870

Date: 7/15/03



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David A. Bilodeau
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Date: 7/15/07



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Tohru OZAKI, et al.

GAU: Unassigned

SERIAL NO: NEW APPLICATION

EXAMINER: Unassigned

FILED: HEREWITH

FOR: SEMICONDUCTOR MEMORY DEVICE AND METHOD OF FABRICATING THE SAME

REQUEST FOR PRIORITY

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Full benefit of the filing date of U.S. Application Serial Number 09/956,001, filed September 20, 2001, is claimed pursuant to the provisions of 35 U.S.C. §120.

Full benefit of the filing date(s) of U.S. Provisional Application(s) is claimed pursuant to the provisions of 35 U.S.C. §119(e): Application No. Date Filed

Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of 35 U.S.C. §119, as noted below.

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

COUNTRY	APPLICATION NUMBER	MONTH/DAY/YEAR
Japan	2000-284710	September 20, 2000

Certified copies of the corresponding Convention Application(s)

are submitted herewith

will be submitted prior to payment of the Final Fee

were filed in prior application Serial No. 09/956,001 filed September 20, 2001

were submitted to the International Bureau in PCT Application Number
Receipt of the certified copies by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.

(A) Application Serial No.(s) were filed in prior application Serial No. filed ; and

(B) Application Serial No.(s)
 are submitted herewith
 will be submitted prior to payment of the Final Fee

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


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